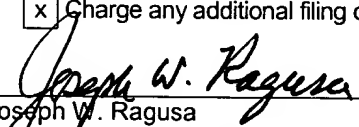
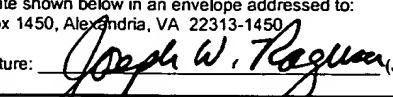


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AMENDMENT TRANSMITTAL LETTER					Docket No. E3331.0487	
Application No. 09/898,305-Conf. #2317		Filing Date June 29, 2001		Examiner Sara M. Chandler		Art Unit 3628
Applicant(s): Gregory D. Mills et al.						
Invention: CREDIT HANDLING IN AN ANONYMOUS TRADING						
<b>TO THE COMMISSIONER FOR PATENTS</b>						
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.						
<b>CLAIMS AS AMENDED</b>						
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate		
Total Claims	57	- 57 =	0	x		
Independent Claims	3	- 3 =	0	x		
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>					Previously Paid	
Other fee (please specify): Extension for response within third month					1,020.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>1,020.00</b>	
<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity						
<input type="checkbox"/> No additional fee is required for this amendment.						
<input type="checkbox"/> Please charge Deposit Account No. <u>50-2215</u> in the amount of \$ _____. A duplicate copy of this sheet is enclosed.						
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.						
<input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.						
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-2215</u> as described below.						
<input checked="" type="checkbox"/> Credit any overpayment.						
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.						
 Joseph W. Ragusa Attorney/Agent Reg. No.: 38,586 DICKSTEIN SHAPIRO LLP 1177 Avenue of the Americas 41st Floor New York, New York 10036-2714 (212) 277-6584				Dated: <u>November 3, 2006</u>		
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. <u>EQ640526873US</u> , on the date shown below in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450						
Dated: <u>November 3, 2006</u>			Signature:  (Joseph W. Ragusa)			

EQ640526873US

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EQ640526873US, on the date shown below in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 3, 2006

Signature:

*Joseph W. Ragusa*  
(Joseph W. Ragusa)

Docket No.: E3331.0487  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Gregory D. Mills et al.

Application No.: 09/898,305

Filed: June 29, 2001

For: CREDIT HANDLING IN AN  
ANONYMOUS TRADING



Confirmation No.: 2317

Art Unit: 3628

Examiner: Sara M. Chandler

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated May 3, 2006, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Amendments to the Drawings** begin on page 13 of this paper and include an attached replacement sheets.

**Remarks/Arguments** begin on page 14 of this paper.